



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: William J. Armstrong et al. : Date: December 3, 2004  
Group Art Unit: 2126 : IBM Corporation  
Examiner: Van H. Nguyen : Intellectual Property Law  
Serial No.: 09/838,057 : Dept. 917, Bldg. 006-1  
Filed: April 19, 2001 : 3605 Highway 52 North  
Title: METHOD AND APPARATUS FOR  
ALLOCATING PROCESSOR  
RESOURCES IN A LOGICALLY  
PARTITIONED COMPUTER  
SYSTEM

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicants request that the information listed on the attached Form PTO/SB/08A be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. §1.97. In accordance with 37 C.F.R. §1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standard of materiality defined in 37 C.F.R. §1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. §1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with

Serial No. 09/838,057  
Docket No. ROC920000252US1

12/10/2004 SCDENB001 00000010 09838057  
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37 C.F.R. §1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

This Information Disclosure Statement is being filed under 37 C.F.R. §1.97(c) before a final action or notice of allowance. The filing fee of \$180.00 (for other than a small entity) is to be charged to our Deposit Account No. 09-0465.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

December 3, 2004

(Date of Deposit)

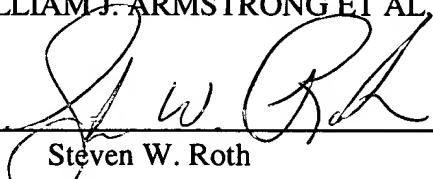
Debra A. Peterson

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Respectfully submitted,

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

Sheet 1 of 2

Application Number.: 09/838,057  
 Filing Date: April 19, 2001  
 First Named Inventor: Armstrong  
 Art Unit: 2126  
 Examiner Name: Van H. Nguyen  
 Attorney Docket Number.: ROC920000252US1

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number - Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns or Lines Where Relevant Passages or Figs. Appear
		US - 5,095,427	03-10-1992	Tanaka et al.	
		US - 5,872,963	02-16-1999	Bitar et al.	
		US - 6,269,391 B1	07-31-2001	Gillespie	
		US - 6,418,460 B1	07-09-2002	Bitar et al.	
		US - 2001/0014905 A1	08-16-2001	Onodera	
		US - 2003/0014466 A1	01/16/2003	Berger et al.	
		US -			
		US -			
		US -			
		US -			
		US -			

**FOREIGN PATENT DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document Country <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns or Lines Where Relevant Passages or Figs. Appear	T <sup>6</sup>

Examiner Signature		Date Considered	
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

<sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language translation is attached.



**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

Sheet 2 of 2

Application Number.: 09/838,057  
Filing Date: April 19, 2001  
First Named Inventor: Armstrong  
Art Unit: 2126  
Examiner Name: Van H. Nguyen  
Attorney Docket Number.: ROC920000252US1

## OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and or country where published.	T <sup>2</sup>
		MARISA GIL et al., "The Enhancement of a User-level Thread Package Scheduling on Multiprocessors," September 1994, Euromicro Workshop on Parallel and Distributed Processing, pp. 228-236.	

Examiner Signature		Date Considered	
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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

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